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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

*Plus one cover sheet*Total Number of Pages in This Submission **23**

Application Number

09/247,886

Filing Date

February 10, 1999

First Named Inventor

Juha Punnonen

Group Art Unit

GROUP 1600  
1632

Examiner Name

Chen, Shin-Lin

Attorney Docket Number

0156.1105

**ENCLOSURES (check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment / Response



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing  
Parts under 37 CFR  
1.52 or 1.53Assignment Papers  
(for an Application)

Drawing(s)



Licensing-related Papers

Petition Routing Slip (PTO/SB/69)  
and Accompanying PetitionPetition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence  
Address

Terminal Disclaimer



Small Entity Statement



Request for Refund

After Allowance Communication  
to GroupAppeal Communication to Board  
of Appeals and InterferencesAppeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Additional Enclosure(s)  
(please identify below):Amendment; Change of  
Correspondence Address; Fee  
Transmittal Form; Fax Cover  
Sheet; Change of Inventor  
Residence; Extension of Time**Authorization to Charge Deposit Account 750-0990**Please charge Deposit Account No. ~~50-8883~~ for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Margaret A. Powers, Reg. No. 39,804

Signature

*Margaret A. Powers*

Date

July 31, 2002

**CERTIFICATE OF FACSIMILE TRANSMITTAL**

I hereby certify that this correspondence is being transmitted by facsimile to Group Art Unit 1632 of the United States Patent and Trademark Office, to facsimile number 703-308-4242 on this date by:

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Margaret A. Powers

Signature

*Margaret A. Powers*

Date

July 31, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$)**110.00**

## Complete if Known

Application Number	09/247,886
Filing Date	February 10, 1999
First Named Inventor	Juha Punnonen
Examiner Name	Chen, Shin-Lin
Group / Art Unit	1632
Attorney Docket No.	0156.110US

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

50-0990

Maxygen, Inc.

- ☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
33	28	0	0
4	1	0	0
Multiple Dependent	10	0	0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	\$110.00
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1440	218 720	Extension for reply within fourth month	
128 1960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1280	241 640	Petition to revive - unintentional	
142 1280	242 640	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**\$110.00**

## SUBMITTED BY

Typed or  
Printed Name

Margaret A. Powers

Signature

*Margaret A. Powers*

Date

July 31, 2002

## Complete (if applicable)

Reg. Number

39,804

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I hereby certify that this correspondence is being transmitted to Group 1600, Art Unit 1632, of the United States Patent and Trademark Office to facsimile number 703-308-4242 on July 31, 2002 by:

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Date: July 31, 2002

Attorney Docket No. 0156.110US  
(previously Atty Docket No. 02-030210US) 8-5-02  
#25  
E  
P.2.

USPTO FAX NO.: 703-308-4242

(Group 1632)

ATTENTION: Examiner Shin-Lin Chen, Ph.D.

TELEPHONE NUMBER: 703-305-1678

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AUG 01 2002

OFFICIAL COMMUNICATION GROUP 1600

FOR THE PERSONAL ATTENTION OF

EXAMINER SHIN-LIN CHEN

OFFICIAL

## CERTIFICATION OF FACSIMILE TRANSMISSION

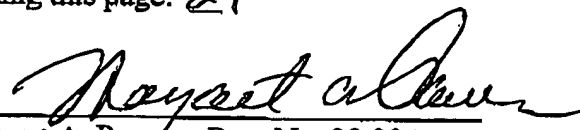
I hereby certify that the following documents in re Application of Juha Punnonen et al., Application No. 09/247,886, filed February 10, 1999, for TARGETING OF GENETIC VACCINE VECTORS is being transmitted via facsimile to Group Art Unit 1632 of the US Patent and Trademark Office to facsimile phone number 703-308-4242 on the date shown below.

Documents Attached

1. Facsimile Transmittal Form (1 page)
2. Amendment After Final Under 37 CFR 1.116 (16 pages)
3. Petition for Extension of Time Under 37 CFR 1.136(a) (one page and one duplicate page)
4. Request for Change of Correspondence Address (2 pages)
5. Request to Change Residence Address of Inventors (1 page)
6. Fee Transmittal Form (one page)

Number of pages being transmitted, including this page: 24

Dated: July 31, 2002

  
Margaret A. Powers, Reg. No. 39,804

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